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PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
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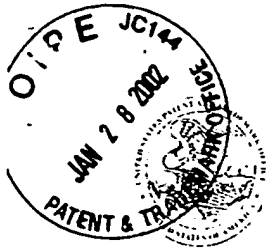
<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Applicati n Number	09/845,597	
	Filing Date	April 30, 2001	
	First Named Inventor	Antoni P. Tomsia	
	Group Art Unit	1772	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	7	Attorney Docket Number	IB-1627

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1) copy of response to request for corrected filing receipt;
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	2) Request for Corrected Filing Receipt
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	3) copy of filing receipt for 60/201,556
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	COPY OF PAPERS ORIGINALLY FILED
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Charles R. Nold; Lawrence Berkeley National Laboratory
Signature	C R Nold
Date	1/07/2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 01/07/2002			
Typed or printed name	Charles R. Nold		
Signature	C R Nold	Date	1/7/2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/845,597	04/30/2001	Antoni P. Tomsia	IB-1627

CONFIRMATION NO. 3236

08076  
LAWRENCE BERKELEY NATIONAL LABORATORY  
ONE CYCLOTRON ROAD, MAIL STOP 90B  
UNIVERSITY OF CALIFORNIA  
BERKELEY, CA 94720


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## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Application Filing Date

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TC 1700

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The correct application number appears on the Filing Receipt. Your postcard included an incorrect application number. Please update your records to reflect the application number on the enclosed Filing Receipt. See MPEP 503.
- ☐ If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a request for a corrected Filing Receipt should be submitted along with a copy of the Express Mail label showing the "date in."
- ☐ A copy of the Express Mail label was not enclosed with your request. Please resubmit.
- ☐ The date on the Express Mail label is the date given on the Filing Receipt.
- ☐ The filing date accorded to the application is the date the application met the filing date requirements of 37 CFR 1.53(b) or (c).
- ☒ Filing date of a parent application cannot be changed by this request. A request for corrected Filing Receipt must be directed to such parent application.
- ☐ Applications submitted under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the U.S. Patent and Trademark Office.
- ☐ The filing date on your return postcard is the same as the date on your Filing Receipt.

- ☐ A petition under 37 CFR 1.10 or 1.53 is needed to correct the filing date. The petition should be directed to the attention of the Office of Petitions.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



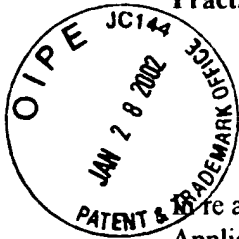
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\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: Antoni P. Tomsia  
Application No.: 09/845,597  
Filed: April 30, 2001  
Group No.: 1772  
Examiner: Unassigned  
For: GLASS/CERAMIC COATINGS FOR IMPLANTS

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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REQUEST FOR CORRECTED FILING RECEIPT

I. This is a second request for corrected filing receipt.

A copy of the Filing Receipt is included.

Please change the domestic priority to read:

---THIS APPLN CLAIMS BENEFIT OF 60/204,556 5/01/2000---

Attached is a copy of the original filing receipt and a marked up copy.

Please note: A first request to correct the Filing Receipt on the instant application was previously mailed. The PTO, in error, assumed that Applicant was attempting to change the filing date of the parent application. Applicant was not. Applicant merely wants the Filing Receipt of the current application to correctly note the filing date of the parent application.

II. FEES

No Fee is believed due.

PAYMENT OF FEES

VII. No Fee is due. In the event Applicant is incorrect, please charge any deficiency to Account No. 120690.

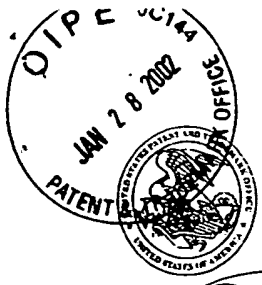
(Request for Corrected Filing Receipt--Nonprovisional Application)

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Charles R. Nold  
Registration No. 46,470  
Lawrence Berkeley National Laboratory  
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510-486-6503  
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# COPY

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1627

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/845,597	04/30/2001	1772	582	IB-1627	5	20	3

CONFIRMATION NO. 3236

08076

LAWRENCE BERKELEY NATIONAL LABORATORY  
ONE CYCLOTRON ROAD, MAIL STOP 90B  
UNIVERSITY OF CALIFORNIA  
BERKELEY, CA 94720

UPDATED FILING RECEIPT



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TC 1700

Date Mailed: 10/30/2001

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**Applicant(s)**

Antoni P. Tomsia, Pinole, CA;  
Grayson W. Marshall, Larkspur, CA;  
Eduardo Saiz, Berkeley, CA;  
Jose M. Gomez-Vega, Nagoya, JAPAN;  
Sally J. Marshall, Larkspur, CA;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/204,556 05/16/2000 \*

(\*) Data inconsistent with PTO records.

**Foreign Applications**

If Required, Foreign Filing License Granted 06/21/2001

Projected Publication Date: 02/07/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

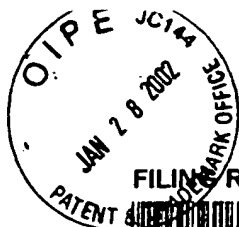
Glass/ceramic coatings for implants

*This is incorrect  
see attached  
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*← This data is incorrect.*

*Please see attached filing receipt for 60/204,556.*

*Actually its a filing receipt for 60/201,556.*



## FILING RECEIPT

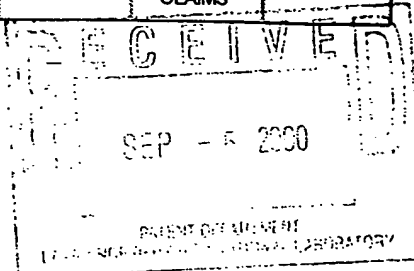
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Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
60/201,556	05/01/2000		100	IB-1627P			

8076  
PAUL R. MARTIN  
LAWRENCE BERKELEY LABORATORY  
ONE CYCLOTRON ROAD  
MS 50A 6140  
BERKELEY, CA 94720



Date Mailed: 08/25/2000

Receipt is acknowledged of this provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Antoni P. Tomsia, Pinole, CA ;  
Eduardo Saiz, Berkeley, CA ;  
Jose M. Gomez-Vega, Oviedo, SPAIN;  
Sally Marshall, Larkspur, CA ;  
Grayson W. Marshall, Larkspur, CA ;

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## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 07/05/2000

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## Title

Functionally graded glass and glass/ceramic coatings for metallic orthopedic implants

## Preliminary Class

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